




- (10) U.S. Patent No. 6,222,941, issued April 24, 2001, entitled "Apparatus for Compression Using Reversible Embedded Wavelets;"
- (11) U.S. Patent No. 5,881,176, issued March 9, 1999, entitled "Compression and Decompression with Wavelet Style and Binary Style Including Quantization by Device-Dependent Parser;"
- (12) U.S. Patent No. 5,966,465, issued October 12, 1999, entitled "Compression/Decompression Using Reversible Embedded Wavelets;"
- (13) U.S. Patent Application No. 09/236,753, filed January 25, 1999;
- (14) U.S. Patent Application No. 09/272,091, filed March 18, 1999;
- (15) U.S. Patent Application No. 09/773,322, filed January 30, 2001;
- (16) U.S. Patent No. 6,549,666, issued April 15, 2003, entitled "Reversible Embedded Wavelet System Implementation;"
- (17) U.S. Patent No. 6,229,927, issued May 8, 2001, entitled "Reversible Embedded Wavelet System Implementation;"
- (18) U.S. Patent Application No. 09/704,991, filed November 2, 2000;
- (19) U.S. Patent Application No. 10/318,962, filed December 12, 2002;
- (20) U.S. Patent Application No. 10/319,086, filed December 12, 2002;
- (21) U.S. Patent Application No. 10/339,502, filed January 8, 2003;
- (22) U.S. Patent Application No. 10/339,543, filed January 8, 2003;
- (23) U.S. Patent Application No. 10/339,138, filed January 8, 2003;
- (24) U.S. Patent Application No. 10/339,501, filed January 8, 2003;
- (25) U.S. Patent Application No. 10/339,544, filed January 8, 2003;
- (26) U.S. Patent No. 6,492,916, issued December 10, 2002, entitled "Method and Apparatus for Generating Multiple Selectable Contexts;"
- (27) U.S. Patent Application No. 09/823,514, filed March 30, 2001;
- (28) U.S. Patent Application No. 09/823,595, filed March 30, 2001;
- (29) U.S. Patent Application No. 09/823,739, filed March 30, 2001;
- (30) U.S. Patent Application No. 09/823,632, filed March 30, 2001; and
- (31) U.S. Patent Application No. 09/894,524, filed June 27, 2001.

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Respectfully submitted,

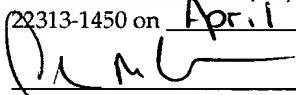
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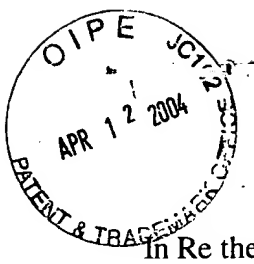
  
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Angela M. Quinn

4-8-04  
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

EDWARD L. SCHWARTZ, ET AL.

Application No.: 09/823,598

Filed: March 30, 2001

For: **METHOD AND APPARATUS FOR  
BLOCK SEQUENTIAL PROCESSING**

Art Group: 2621

Examiner: Amir Alavi

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

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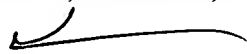
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Date: April 08, 2004

  
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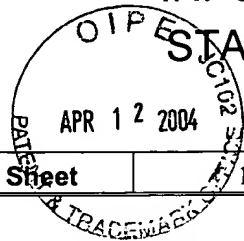
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## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

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Sheet 1 of 8

## Complete if Known

Application Number 09/823,598  
 Filing Date 3/30/2001  
 First Named Inventor: Edward L. Schwartz  
 Art Unit 2185  
 Examiner Name Amir Alavi  
 Attorney Docket Number 074451.P128

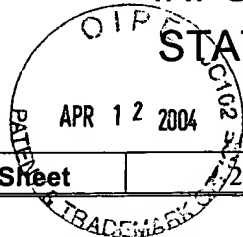
## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
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		US-	3,950,103	4/13/1976	Schmidt-Weinmar	
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Application Number	09/823,598
Filing Date	3/30/2001
First Named Inventor:	Edward L. Schwartz
Art Unit	2185
Examiner Name	Amir Alavi
Attorney Docket Number	074451.P128

Sheet 2 of 8

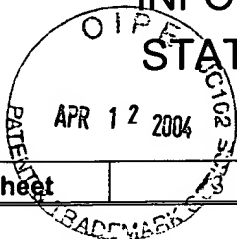
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		Number-Kind Code <sup>2</sup> (if known)				
		us-	4,985,927	1/15/1991	Norwood et al.	
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## Complete if Known

Application Number	09/823,598
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Art Unit	2185
Examiner Name	Amir Alavi
Attorney Docket Number	074451.P128

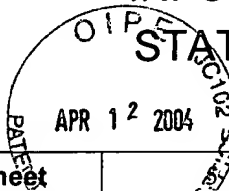
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## U.S. PATENT DOCUMENTS

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			Application Number	09/823,598	
			Filing Date	3/30/2001	
			First Named Inventor:	Edward L. Schwartz	
			Art Unit	2185	
			Examiner Name	Amir Alavi	
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FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EPO 0510933 A1	10/28/1992	Canon Kabushiki Kaisha		
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		UK GB 2 303 031 A	2/5/1997	Ricoh Company Ltd.		
		UK GB 2 341 035 A	3/1/2000	Ricoh Company Ltd.		

Examiner Signature	Date Considered
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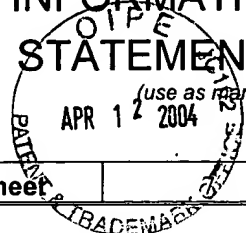
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				Application Number	09/823,598
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				Examiner Name	Amir Alavi
				Attorney Docket Number	074451.P128
Sheet	6	of	8		

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		ANTONINI, et al., "Image Coding Using Wavelet Transform", <u>IEEE Transactions on Image Processing</u> , Vol 1, No. 2, April 1992, pp. 205-220.	
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Substitute for Form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) 				Application Number	09/823,598
				Filing Date	3/30/2001
				First Named Inventor:	Edward L. Schwartz
				Art Unit	2185
				Examiner Name	Amir Alavi
Sheet		of	8	Attorney Docket Number	074451.P128
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
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